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CLIENT NO.: 03519.0002
MESSAGE TO: Examiner Alain Bashore
COMPANY: USPTO
FAX NUMBER: 571-273-8300
FROM: George G. Ballas
PHONE: (202) 496-7571

PAGES (Including Cover Sheet): 15**HARD COPY TO FOLLOW:** ☐ YES ☒ NO**MESSAGE:**

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MCKENNA LONG & ALDRIDGE LLP
1900 K Street, N.W., Washington, DC 20006
Telephone: (202) 496-7500 Facsimile: (202) 496-7756

PTO/SB/97 (09-04)

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52,587
Registration Number, if applicable

202-496-7571
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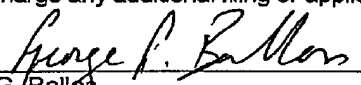
U.S. Appl. No. 09/928,109 filed August 10, 2001

- 1) Amendment Transmittal (1 page)
- 2) Amendment (12 pages)

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AMENDMENT TRANSMITTAL LETTER			Docket No. 3519.002.00-US		
Application No. 09/928,109 - Conf. #6279	Filing Date August 10, 2001	Examiner Alain L. Bashore	Art Unit 1762		
Applicant(s): Thomas R. GOODWIN et al.					
Invention: SYSTEM AND METHOD FOR TRADING AND ORIGINATING FINANCIAL PRODUCTS USING A COMPUTER NETWORK					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	22	- 23 =	0	x \$50	
Independent Claims	6	- 6 =	0	x \$200	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					
<input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity					
<input checked="" type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-0911</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
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 George G. Ballas Attorney Reg. No.: 52,587			Dated: <u>November 22, 2005</u>		

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Docket No.: 3519.002.00-US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Thomas R. GOODWIN et al.

Customer No. 30827

Application No. 09/928,109

Confirmation No. 6279

Filed: August 10, 2001

Art Unit: 1762

For: SYSTEM AND METHOD FOR TRADING
AND ORIGINATING FINANCIAL
PRODUCTS USING A COMPUTER
NETWORK

Examiner: Alain L. Bashore

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

In response to the Office Action dated September 7, 2005, please amend the patent application identified above as follows:

INTRODUCTORY COMMENTS

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.

DC:50376034.1